



### Declaration

I, Yoji Tomita, a translator residing at Hiroshi Tanaka Patent Agency Bureau, having a principle place of business at 19-14, Toranomom 1-chome, Minato-ku, Tokyo, Japan, hereby state that I am well acquainted with the Japanese and English languages and that I have made the translation of the Japanese Patent Application No.2002-300765 filed on October 15, 2002 into English attached hereto and that this translation is true and accurate.

Dated this on 24th day of September, 2007 at Tokyo, Japan

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[Name of document] Description

[Title of the Invention] SURFACE TOUGHENING METHOD OF CERAMICS AND CERAMICS PRODUCTS

[Claims]

[claim 1]

A method for surface toughening of a ceramic product comprising, forming uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product by using abrasives composed of fine particles having convexly curved surface and having an average particle size of  $0.1\mu\text{m}$  to  $200\mu\text{m}$  and a Vickers hardness (HV) of 500 or more and of a hardness (HV) of the ceramic products +50 or less.

[claim 2]

The method for surface toughening of a ceramic product of claim 1, wherein the plastic working is carried out by shot blasting pressure of 0.1 to 0.5MPa, shot blasting speed of 20m/sec to 250m/sec and shot blasting time of 0.1sec/cm<sup>2</sup> or more to 60sec/cm<sup>2</sup> or less.

[claim 3]

The method for surface toughening of a ceramic product of claim 1 or claim 2, wherein the dislocation density of uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product is in the range of from  $1\times 10^4$  to  $9\times 10^{13}\text{cm}^{-2}$

[claim 4]

A ceramic product possessing the structure whose dislocation density of uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product is in the range of from  $1\times 10^4$  to  $9\times 10^{13}\text{cm}^{-2}$ .

[Detail Description of the Invention]

[0001]

The present invention relates to a method for surface toughening of ceramics product by forming a linear dislocation structure distributed uniformly in the sub-surface regions of the products by plastic working (shot blasting treatment) at room temperature using abrasives composed of fine particles having hardness smaller than that of the ceramics product and whose surfaces are convex, in other words, not having sharpened edge, in particular, a method for surface toughening of the

ceramics product only by plastic working without annealing treatment after the plastic working (shot blasting treatment), further relates to a surface toughened ceramic product which characterizes to form a linear dislocation structure distributed uniformly in the sub-surface regions of the product.

[0002]

[Description of the Prior Art]

Ceramics materials are broadly used in various fields because they are excelling in thermal stability, high temperature strength, hardness, elastic modulus, electric insulation, piezoelectricity or corrosion-resistance. However, since ceramic materials have defects of being hard and brittle material, in actual use of the ceramics material where above mentioned excellent properties are practically used, specifically, gas turbine, parts for heat engine e.g. car, parts for mechanical structural unit such as spring and gear, sensor, actuator unit or parts for micro machine using single crystal material such as silicon wafer, yttrium-aluminum-garnet (YAG) and sapphire or polycrystal material such as barium titanate and lead zirconate titanate (PZT), life time of product or mechanical reliability are remarkably inferior to that of metal and these points are pointed out as the problem of the ceramics materials.

[0003]

[Patent document 1]

WO 02/24605 A1 (in particular, refer to Examples of pages 18-19)

[non patent document 2]

W.J.-Moon and H. Saka. PHILOSOPHICAL MAGAZINE LETTERS, 2000, Vol.80, No.7, 461-466

[0004]

In the meanwhile, work hardening which accumulates lattice defects such as dislocation in the crystal with deformation of crystal material is the most popular toughening method for crystal material. However, said toughening method is considered to be applied broadly for the ductile materials such as metal material which can introduce lattice defects e.g. dislocation in large quantities into crystal material, but in the case of brittle materials, it is considered that the plastic deformation is impossible. Recently, Hiroyasu Saka and Won-Jin Moon have developed the following method as the novel method for surface toughening of a brittle crystal

material. That is, after forming minute indentation of 0.001 to 1  $\mu$  m groove width on the surface of brittle crystal material at the density of 500 to 10,000 pieces/mm<sup>2</sup> using a Vickers hardness tester at room temperature, introducing a dislocation sub-boundary simultaneously at the time when said minute-indentations disappear substantially in the atmosphere between 0.5T<sub>M</sub> (non patent document 1). Further, Hiroyasu Saka, Won-Jin Moon, Shouji Uchimura and Hideki Morimitsu proposed an improved technique which carried out the process before the annealing treatment using technique of the plastic working (shot blasting treatment) for said initial technology (non patent document 2).

[0005]

However, said both two prior arts need the plastic working (shot blasting treatment) and the annealing treatment after said plastic working. That is the combination of said two processes are needed. Therefore, these prior arts have complexity that the management of treating process must be carried out by the combination of said two processes, have problems of dimensional alteration of the product, alteration of characteristics, stability of the product and reliability, further have a problem of energy consumption, that is, technical improvement is needed.

[0006]

[Object of the Invention]

The subject of the present invention is to provide a method for surface toughening of a ceramics product from which the defects of prior arts are dissolved, further, the subject of the present invention is to provide a ceramic product having specific properties obtained by a method for surface toughening which does not need an annealing treatment. For dissolving above mentioned problems, the inventors of the present invention, continued enormous number of experiments by trial and error which alter the factors of conventional plastic working (shot blasting treatment) such as HV hardness of abrasives, shape and plastic working (shot blasting treatment) condition. Among the enormous number of experiments, surprisingly, the inventors of the present invention have found that only uniformly distributed linear dislocations which bring surface toughening without conventional annealing treatment, without generating micro-cracking, can be introduced into the treated ceramic product. Furthermore, it becomes clear that the structural observation by a

transmission electron microscope of the structure formed on the surface of the ceramic product is quite different from the structural observation by a transmission electron microscope of the structure formed by the conventional plastic working (shot blasting treatment) and annealing treatment. That is, by above mentioned discovery, the subjects of the present invention are dissolved.

**[0007]**

**[Brief Description of the Invention]**

The first one of the present invention is a method for surface toughening of a ceramic product comprising, forming uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product by using abrasives composed of fine particles having convexly curved surface and having an average particle size of  $0.1\text{ }\mu\text{m}$  to  $200\text{ }\mu\text{m}$  and a Vickers hardness (HV) of 500 or more and of a hardness (HV) of the ceramic products +50 or less. Desirably, the first one of the present invention is, the method for surface toughening of the ceramic product, wherein the plastic working is carried out by shot blasting pressure of 0.1 to 0.5MPa, shot blasting speed of 20m/sec to 250m/sec and shot blasting time of 0.1sec/cm<sup>2</sup> or more to 60sec/cm<sup>2</sup> or less. More desirably, the first one of the present invention is the method for surface toughening of each ceramic products mentioned above, wherein the dislocation density of uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product is in the range of from  $1\times 10^4$  to  $9\times 10^{13}\text{ cm}^{-2}$ . While, in the present invention, the plastic working in room temperature is only indicating that the atmosphere in which plastic working is carried out is under room temperature and the temperature of surface of the product after plastic working, and the product surface after plastic working obtains a part of kinetic energy of the abrasives, accordingly, the temperature is elevated than room temperature from microscopic view point.

**[0008]**

The second one of the present invention is the ceramic product possessing the structure whose dislocation density of uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product is in the range of from  $1\times 10^4$  to  $9\times 10^{13}\text{ cm}^{-2}$ .

**[0009]**

**[Description of the Preferred Embodiment]**

The present invention will be illustrated more in detail.

[0010]

A. Fig.1 is an apparatus to carry out the shot blasting treatment which realize room temperature plastic working of the present invention, and is the conceptual view of Microblaster MBI type apparatus (nozzle diameter: 8Φmm, shot blasting distance: 150mm), product of SINTOBRATOR Ltd, which is possible to stabilize the processing precision by quantitative supply of fine particles.

Plastic working (shot blasting treatment) of the present invention is aiming not to leave scratches on the surface of ceramics product to be treated and the surface shape of fine particles used for the shot blasting treatment and the relative value of the fine particles to the hardness of ceramic which consists of ceramic product to be treated are different from the conventional technique. From the fact that there is remarkable difference between the ceramic structure formed on said ceramic product to be treated and the ceramic structure formed by a conventional plastic working and annealing treatment, the plastic working of the present invention can be said functionally as "shot blasting treatment for forming surface toughening structure".

Regarding the hardness of the fine abrasive particles, the upper limit that Vickers hardness of the fine abrasive particles is +50 (HV) or less than the hardness of the ceramic product is restricting the range in which a defect such as chipping does not occur.

[0011]

In Fig.1, abrasive particles for plastic working which are different in accordance to ceramics product to be treated are shot blasted toward said ceramics product, which is a board shape ceramics product 4 is held by a product holding pat consisting of a table 5 which can move to X-Y direction, by controlling blasting pressure and blasting amount from a blasting nozzle 3. By making the blasting nozzle movable to X-Y direction, same effect can be obtained. Used abrasive particles for plastic working are recovered by a recovering apparatus 7, separated from deteriorated abrasive particles and recycled. Abrasive particles can be jetted with gas or with liquid like as liquid honing.

The restriction of blasting speed from 20m/sec to 250m/sec is the condition to jet the abrasive particles vertically toward the surface of

specimen at the measurement of fracture toughness of blasting treatment. Further, the lower limit of the blasting speed is the restriction from the view point of workability of the plastic working (shot blasting) treatment and the upper limit of the blasting speed is the limit to restrict the range in which a defect such as chipping does not occur.

[0012]

The hardness of abrasive particles for plastic working which are used in the present invention is less than that of the ceramics product to be processed and is desirable to use fine particles having convexly curved surface material not having sharpened edge which do not leave scratches on the surface of the ceramics product to be processed and do not wear the surface of the ceramics product to be processed excessively. Further, it is desirable that the shape of fine particle is spherical.

The particle size of the abrasive particles for plastic working is the important factor relating to the improvement of fracture toughness value  $K_{IC}$  of the ceramic product to be processed. And, the smaller particle size becomes, the easier the plastic working of the ceramics product of the complicated surface shape by the present invention becomes.

[0013]

From the functional view point, the plastic working shot blasting that can be applied to the deformation composed of two or more processes changing the size of the abrasive particles for plastic working, hardness, blasting pressure, blasting amount or by changing the combination of these factors, and it is desirable to design the processing conditions considering the characteristics required to the ceramic products to be processed.

[0014]

Measuring apparatuses used for the investigation of the characteristics of the toughened ceramics product produced by the method for surface toughening of the present invention will be illustrated below.

a. Dislocation density and structure: Thin film specimen for TEM observation is prepared by an Focused Ion Beam Apparatus (Hitachi F-2000) and the structural characteristics is observed by a transmission electron microscope (TEM) JEOL-200CX (accelerating voltage: 200kV), product of JAPAN ELECTRON OPTICS LABORATORY CO., Ltd. Dislocation density can be obtained by measuring the dislocation length per unit volume. Concretely, the dislocation density is measured by

following procedure, that is, (1) measure the thickness of a thin film specimen, (2) take a TEM observation picture of the point where dislocation density is measured, (3) measure the length of dislocation contained in the unit volume.

b. Fracture toughness; Fracture toughness is measured based on Indentation-Fracture method (IF method) prescribed in JIS R 1607.

The specimen is ground and polished by a diamond abrasive paper of #600, #1000, #3000 in order then by  $1\mu$  alumina suspension so as to obtain surface roughness of 0.2S or less.

Using a Vickers hardness tester, the optimal value of indentation load is selected from 100gf, 200gf, 300gf, 500gf, 5kgf and 10kgf according to a quality of the testing material. Holding time of load is set to 15sec.

By measuring the diagonal length of indentation mark and length of crack generates from 4 corners of indentation mark (2c, unit; m), fracture toughness value is calculated by following numerical formula.

$$K_{IC} = 0.018(E/HV)^{1/2}(P/C^{3/2})$$

Wherein,  $K_{IC}$  is fracture toughness ( $\text{MPa}\cdot\text{m}^{1/2}$ ), E is Young modulus (Pa), HV is Vickers Hardness (Pa), P is pressing load (N), c is the half of the total length of a crack (m).

[0015]

[EXAMPLE]

The present invention will be illustrated more specifically according to Examples, however, the Examples are only aiming for easy understanding of the present invention and not intending to limit the scope of the present invention.

Examples 1-4, Comparative Example 1

As the ceramics product to be processed, a specimen composed of board shape silicon nitride of 4mm width $\times$ 40mm length $\times$ 3mm thickness (silicon nitride A), which is Refaceram, product of Japan Fine Ceramics Center whose hardness is 1370HV and bending strength is 1115MPa is used.

Plastic working (shot blasting) is carried out by jetting abrasives vertically to the surface of specimen from the thickness direction, and measurements of dislocation density by TEM observation and Fracture toughness value are measured by an indentation fracture (IF) method. In Table 1, conditions for plastic working (shot blasting) and results for quality



characteristics of silicon nitride A of before and after processing are shown. Of cause, in the actual plastic working (shot blasting), the blasting can be carried out with an inclination to the surface of the product, and can select the impact angle considering the surface shape of the product. Since the effect of plastic working (shot blasting) to the surface to be processed is depending to the vertical force to the surface to be processed, in the case to carry out blasting having an inclination, it is necessary to adjust the conditions of plastic working (shot blasting) such as blasting force considering the impact angle.

[0016]

[Table 1]

Measuring results of fracture toughness value of silicon carbide A

No	specimen		blasting materials			shot blasting condition				surface roughness		hardness	dislocation density /cm <sup>2</sup>	K <sub>IC</sub> MPa <sup>1/2</sup>	improved ratio of K <sub>IC</sub>
	material	hardness HV	bending strength MPa	material	size $\mu$ m	hardness HV	pressure MPa	amo-unit g/min	speed m/s	time sec/cm <sup>2</sup>	Ra $\mu$ m	before process	after process		
Co.1	silicon nitride	1370	1115	—	—	—	—	—	—	—	—	—	—	6.39	1.00
Ex.1	A	1370	1115	zircon	200	810	0.35	400	50	12	0.038	0.046	1380	3.9 $\times$ 10 <sup>10</sup>	1.11
Ex.2		1370	1115	alumina	250	1300	0.4	400	50	2	0.04	0.067	1410	8.2 $\times$ 10 <sup>12</sup>	1.47
Ex.3		1370	1115	zirconia	50	1380	0.4	600	70	4	0.04	0.050	1422	6.3 $\times$ 10 <sup>13</sup>	2.36
Ex.4		1370	1115	zirconia	90	1380	0.4	600	60	4	0.04	0.063	1460	8.9 $\times$ 10 <sup>13</sup>	*1

Co.: Comparative Example Ex.: Example K<sub>IC</sub>: fracture toughness value

\*1: can not calculate because crack does not generate

[0017]

From the results in Table 1, it is clearly understood that the fracture toughness of the processed products of the present invention (Examples 1-4) is improved in proportion to dislocation density formed on the surface of specimen after plastic working (shot blasting) at room temperature (comparison with Comparative Example 1). Especially, the effect for improved ratio of the fracture toughness of Examples 3 and 4 which use specimen of silicon nitride A is remarkable. In Example 3, the improved ratio of the fracture toughness is 2.4 times, further, in Example 4, after a diamond load is loaded on the surface of specimen, cracks to be generated from 4 corners of an indentation are not recognized, consequently the value of "c" (the half of the total length of a crack) to be substituted into above mentioned numerical formula for calculation of fracture toughness becomes zero and the toughening as much as the calculated value of fracture toughness becomes infinite is accomplished. Said remarkable improvement of fracture toughness is not an expected effect, and indicates that the developed toughening method is a very novel technique. Further, the hardness of the processed products of the present invention is recognized to be increased along with the increase of the dislocation density formed in the sub-surface regions of specimen.

[0018]

Examples 5-10, Comparative Example 2

As the ceramics product to be processed, a specimen of board shape silicon nitride of 13mm×40mm×3mm (silicon nitride B), which is the product of NGK SPARK PLUG Co., Ltd., whose hardness is 1380HV and bending strength is 1100MPa is used. Plastic working (shot blasting) is carried out by jetting abrasives vertically to the surface of specimen from the thickness direction, and measurements of dislocation density by TEM observation and Fracture toughness are measured by an indentation fracture (IF) method. In Table 2, conditions for plastic working (shot blasting) and results for quality characteristics of silicon nitride B of before and after processing are shown.

[0019]

[Table 2]

Measuring results of fracture toughness value of silicon carbide B

No	specimen			blasting materials			shot blasting condition				surface roughness		hardness HV (after process)	disloca- tion density /cm <sup>2</sup>	K <sub>IC</sub> MPa · m <sup>1/2</sup>	improv- ed ratio of K <sub>IC</sub>
	mate- rial	hard- ness HV	bending strength MPa	mate- rial	size μm	hard- ness HV	blasting				before process	after process				
							pressure MPa	amo- unt g/min	speed m/s	time sec/ cm <sup>2</sup>						
Co.2	silicon nitride B	1380	1100	—	—	—	—	—	—	—	—	—	—	—	5.64	1.00
Ex.6		1380	1100	zircon	200	810	0.4	400	50	12	0.012	0.137	1482	3.9 × 10 <sup>10</sup>	5.83	1.03
Ex.7		1380	1100	zircon	200	810	0.4	400	50	24	0.011	0.189	1474	2.9 × 10 <sup>11</sup>	6.33	1.12
Ex.5		1380	1100	multi- te	100	1020	0.2	400	45	12	0.012	0.344	1430	7.7 × 10 <sup>12</sup>	9.69	1.72
Ex.8		1380	1100	multi- te	100	1020	0.4	400	60	12	0.012	0.587	1555	5.4 × 10 <sup>12</sup>	9.87	1.75
Ex.9		1380	1100	multi- te	100	1020	0.4	400	60	36	0.012	0.906	1545	3.1 × 10 <sup>13</sup>	—*2	—
Ex.10		1380	1100	zirconia	50	1380	0.2	600	50	4	0.012	0.188	1508	6.3 × 10 <sup>13</sup>	13.80	2.45

Co.: Comparative Example Ex.: Example K<sub>IC</sub>: fracture toughness value

\*2: Can not measured because of generation of chipping

[0020]

[Effect of the Invention]

From the results in Table 2, it is clearly understood that the fracture toughness value of the processed products of the present invention is improved in proportion to dislocation density formed in the sub-surface regions of specimen after shot blasting treatment at room temperature. In the case of Example 9, which indicates that very high dislocation density formed in the sub-surface regions of specimen after shot blasting treatment, chipping generates on the surface of the specimen and the measurement of the fracture toughness is impossible. The reason why is considered that when the numbers of dislocation becomes large, cracking generates by the reaction between dislocations and the generation of chipping at shot blasting becomes easy to generate.

[0021]

Examples 11-14, Comparative Example 3

As the ceramics product to be processed, a specimen of board shape aluminum nitride of 7mm width×40mm length×3mm thickness, which is the product of DENKI KAGAKU KOGYO K.K., whose hardness is 1090HV and bending strength is 1100MPa is used.

Plastic working (shot blasting) is carried out by jetting abrasives vertically to the surface of specimen from the thickness direction, and measurements of dislocation density by TEM observation and Fracture toughness are measured by an indentation fracture (IF) method. In Table 3, conditions for plastic working (shot blasting) and results for quality characteristics of aluminum nitrate of before and after processing are shown.

[0022]

[Table 3]

Measuring results of fracture toughness value of aluminum nitride

No	specimen		blasting materials			shot blasting condition				surface roughness		hardness	dislocation density	K <sub>IC</sub>	improved ratio of K <sub>IC</sub>	
	material	hardness HV	bending strength MPa	material	size μm	hardness HV	blasting			before process	after process	(after process)	/cm <sup>2</sup>	MPa · m <sup>1/2</sup>		
							pressure MPa	amo-unt g/min	speed m/s							time sec/cm <sup>2</sup>
Co.3	aluminum	1060	390	—	—	—	—	—	—	—	—	—	—	3.03	1.00	
Ex.11	nitride	1060	390	zircon	50	810	0.15	400	45	4	0.185	0.223	1065	1.9 × 10 <sup>9</sup>	3.66	1.21
Ex.12		1060	390	zircon	200	810	0.15	400	30	4	0.187	0.219	1078	7.8 × 10 <sup>11</sup>	4.90	1.62
Ex.13		1060	390	multi-	100	1020	0.13	300	40	0.4	0.174	0.237	1055	9.2 × 10 <sup>8</sup>	3.41	1.13
Ex.14		1060	390	zirconia	50	1380	0.14	600	50	0.4	0.189	0.607	—	2.9 × 10 <sup>8</sup>	—*2	—

Co.: Comparative Example Ex.: Example K<sub>IC</sub>: fracture toughness value

\*2: Can not measured because of generation of chipping

[0023]

From the results in Table 3, it is clearly understood that the fracture toughness of the processed products of the present invention is improved in proportion to dislocation density formed in the sub-surface regions of specimen after shot blasting treatment at room temperature likewise to the testing results of silicon nitride A and B. In the case of Example 14, which indicates that very high dislocation density formed in the sub-surface regions of specimen after shot blasting treatment, likewise to Example 9 using a specimen of silicon nitride B, chipping generates on the surface of the specimen and the measurement of the fracture toughness is impossible. The reason why is considered that when the numbers of dislocation becomes large, cracking generates by the reaction between dislocations and the generation of chipping at shot blasting becomes easy to generate.

[0024]

#### Examples 15-17, Comparative Example 4

As the ceramics product to be processed, a specimen of board shape alumina,  $\text{Al}_2\text{O}_3$ : 99.5% of 10mm×10mm×3mm, which is the product of Sinto V-ceracs Co., Ltd., whose hardness is 1090HV and bending strength is 1100MPa is used. Plastic working (shot blasting) is carried out by jetting abrasives vertically to the surface of specimen from the thickness direction, and measurements of dislocation density by TEM observation and Fracture toughness are measured. In Table 4, conditions for plastic working (shot blasting) and results for quality characteristics of alumina of before and after processing are shown.

[0025]

[Table 4]

Measuring results of fracture toughness value of alumina

	specimen		blasting materials			shot blasting condition				surface roughness		hardness HV (after process)	dislocation density /cm <sup>2</sup>	K <sub>Ic</sub> · MPa · m <sup>1/2</sup>	improved ratio of K <sub>Ic</sub>	
	material	hardness HV	bending strength MPa	material	size μm	hardness HV	blasting			Ra μm	before process					after process
							pressure MPa	amount g/min	speed m/s							
N0																
Co.1	alumina	1700	375	—	—	—	—	—	—	—	—	—	—	—	3.02	1.00
Ex.15		1700	375	zircon	200	810	0.35	400	50	12	0.233	0.249	1716	4.7 × 10 <sup>8</sup>	3.12	1.03
Ex.16		1700	375	multi-te	100	1020	0.35	400	60	12	0.209	0.287	—	6.7 × 10 <sup>13</sup>	*3	—
Ex.17		1700	375	zirconia	50	1380	0.15	600	50	4	0.259	0.263	1890	7.3 × 10 <sup>12</sup>	10.92	3.62

Co.: Comparative Example Ex.: Example K<sub>IC</sub>: fracture toughness value

\*3: Can not measured because miller finishing is not impossible. (fine holes generates at the polished surface)



[0026]

From the results of Table 4, it is clearly understood that the fracture toughness of the processed products of the present invention is improved in proportion to dislocation density formed in the sub-surface regions of specimen after shot blasting treatment at room temperature likewise to the above mentioned testing results. Especially, the fracture toughness value of Example 17, which uses alumina specimen is 10.92 which exceeds the fracture toughness of silicon nitride 5-9, recognized as high toughness, that is, surprising toughened effect is accomplished.

[0027]

#### Examples 18-22, Comparative Example 5

As the ceramics product to be processed, a specimen of single-crystal alumina of 10mm width×10mm length×1.0mm thickness, which is the product of MTI Corporation whose hardness is 1630HV and bending strength is 1100MPa is used. Plastic working (shot blasting) is carried out by jetting abrasives vertically to the surface of specimen from the thickness direction, and measurements of dislocation density by TEM observation and Fracture toughness are measured. In Table 5, conditions for plastic working (shot blasting) and results for quality characteristics of alumina of before and after processing are shown. In enlarged drawing (B) of Fig.2, dislocation structure extending to lateral direction can be observed and in the present invention, said structure is described as a linear dislocation structure. Fig.3 is the TEM observation picture of processed ceramics product by conventional technique, which is attached for the comparison of dislocation structure formed by the surface toughening process. In Fig.3, dislocation cell structure having net work shape can be observed and is remarkably different from the dislocation structure of Fig.2.

The ceramics product of the present invention have a linear dislocation structure in the range from the outermost surface to  $30\mu\text{m}$  depth. The dislocation density of this linear dislocation structure becomes low along with the depth, that is, maximum value is shown at the outermost surface. The maximum dislocation density is in the range from  $1\times 10^8$  to  $9\times 10^{13}\text{ cm}^{-2}$ .

[0028]

[Table 5]

Measuring results of fracture toughness value of mono-crystal alumina

	specimen		blasting materials		shot blasting condition				surface roughness Ra $\mu\text{m}$		hardness HV (after process)	dislocation density /cm <sup>2</sup>	K <sub>IC</sub> MPa $\cdot\text{m}^{1/2}$	improved ratio of K <sub>IC</sub>
	material	hardness HV	bending strength MPa	material	size $\mu\text{m}$	hardness HV	pressure MPa	amo- unit g/min	speed m/s	time sec/ cm <sup>2</sup>	before process	after process		
No														
Co.5	mono-crystal	1630	—	—	—	—	—	—	—	—	—	—	2.24	1.00
Ex.18	alumina	1630	—	multi-	100	1020	0.3	80	65	1.2	0.011	0.035	2.38	1.06
Ex.19		1630	—	multi-	100	1020	0.45	80	85	1.2	0.009	0.055	2.56	1.14
Ex.20		1630	—	multi-	100	1020	0.45	80	85	3.6	0.011	0.052	3.10	1.38
Ex.21		1630	—	multi-	100	1020	0.45	80	85	6	0.012	0.063	3.73	1.67
Ex.22		1630	—	multi-	100	1020	0.45	80	85	12	0.010	0.057	*1	—

Co.: Comparative Example Ex.: Example K<sub>IC</sub>: fracture toughness value

\*1: can not calculate because crack does not generate

[0029]

From the results in Table 5, it is clearly understood that the fracture toughness value of the processed products of the present invention is improved in proportion to dislocation density formed in the sub-surface regions of specimen after plastic working (shot blasting treatment) at room temperature. Especially, the improved ratio of fracture toughness value of Example 22 using a specimen single-crystal alumina is remarkably good, and from the result that a crack does not generate from 4 corners of an indentation mark marked by loading a diamond indenter on the surface of specimen, it is obvious that the excellent toughening effect as much as fracture toughness can not be calculated is obtained.

[0030]

Further, from the results of Examples 19 to 22 using a specimen of single-crystal alumina, it is clearly understood that the improved ratio of fracture toughness becomes better along with the prolonging of blasting time. This can be explained that the dislocation density of linear dislocation formed the surface of the specimen becomes large along with the prolonging of blasting time.

[0031]

In Fig.2, especially from enlarged drawing (B), in the sub-surface regions of specimen treated by the present invention, linear dislocation structure that distributed homogeneously caused by the fact that the plastic deformation caused homogeneously can be observed. In the drawing, black linear line is dislocation, and at the surface of the product, block of black part which is formed by accumulating dislocation can be observed. In Fig.2, white part can be observed at the surface of product, however, this is caused by not meeting of observation condition because the crystal of white part is slightly rotated against the crystal of black part, and by adjusting the observation condition said part becomes black and the existing of dislocation can be confirmed. In the meanwhile, according to Fig.3 of the transmission electron microscope picture of ceramics product to be treated using conventional technique, dislocation structure becomes a net work shape dislocated cell structure, which is a stabilized arrangement, by annealing treatment after plastic working. In this Figure, dislocation is accumulated at the black net work part and consequently dislocation density becomes high, and in white part is the range where dislocation does

not exist. Since dislocation does not exist at this white part, it does not change color to black, even if the observation condition is changed. Thus, the structure obtained by the method for surface toughening of the present invention is remarkably different from the structure obtained by conventional technique.

[0032]

#### Examples 23-26, Comparative Example 6

As the ceramics product to be processed, a specimen of rectangular board shape silicon carbide (SiC: 99.9%) of 6mm×6mm×20mm, which is the product of Ohmura Taika Co., Ltd., is used. Plastic working (shot blasting) is carried out by jetting abrasives vertically to the surface of specimen from the thickness direction, and measurements of dislocation density by TEM observation and Fracture toughness value are measured. In Table 6, conditions for plastic working (shot blasting) and results for quality characteristics of silicon carbide of before and after processing are shown.

[0033]

[Table 6]

Measuring results of fracture toughness value of silicon carbide

No	specimen		blasting materials			shot blasting condition				surface roughness		hardness	dislocation	K <sub>IC</sub> ·	improved ratio of K <sub>IC</sub>	
	material	hardness HV	bending strength MPa	material	size μm	hardness HV	pressure MPa	amount g/min	speed m/s	time sec/cm <sup>2</sup>	Ra μm	before process	after process	density /cm <sup>2</sup>	MPa · m <sup>1/2</sup>	
Co.1	silicon carbide	2700	610	—	—	—	—	—	—	—	—	—	—	—	4.10	1.00
Ex.23		2700	610	zircon	200	810	0.35	400	50	12	0.277	0.280	2710	3.6 × 10 <sup>8</sup>	4.18	1.02
Ex.24		2700	610	zirconia	90	1380	0.15	400	45	4	0.281	0.303	2744	6.9 × 10 <sup>10</sup>	5.78	1.41
Ex.25		2700	610	alumina	100	1500	0.15	400	45	4	0.266	0.343	2770	1.3 × 10 <sup>11</sup>	6.36	1.55
Ex.26		2700	610	alumina	100	1500	0.35	400	60	4	0.269	0.382	2790	8.1 × 10 <sup>12</sup>	7.50	1.83

Co.: Comparative Example Ex.: Example K<sub>IC</sub>: fracture toughness value

[0034]

From the results of Table 6, it is clearly understood that the fracture toughness of the processed products of the present invention is improved in proportion to dislocation density formed in the sub-surface regions of specimen after shot blasting treatment at room temperature likewise to the above mentioned testing results.

[0035]

[Effect of the Invention]

As mentioned above, by the present invention, it becomes possible to provide a method for surface toughening of ceramics product of energy-saving type. According to the present invention, the excellent effect that the novel ceramics product whose surface toughness is improved can be contributed by not causing deterioration of physical properties caused by annealing treatment, because the method for surface toughening of the present invention does not need annealing treatment. Therefore, it is expected that the field in which ceramics can be used is broadly expanded.

[Brief Illustration of the Drawings]

[Fig.1] The conceptual view of an apparatus to carry out shot blasting treatment which realize room temperature plastic working of the present invention

[Fig.2] Picture by a transmission electron microscope of structure which forms uniformly distributed linear dislocations obtained by a method for surface toughening of the present invention, wherein (B) is an enlarged drawing of the marked part of (A)

[Fig.3] Transmission electron microscopic picture of dislocation cells (net work) formed by a conventional plastic working (shot blasting treatment) and annealing treatment

[Illustration of Marks]

1 Cabinet 2 Cabinet door 3 Blasting nozzle  
4 Work (ceramics to be treated) 5 X-Y table 6 Driving part of X-Y table  
7 Recovering apparatus for blasting materials

Fig.1

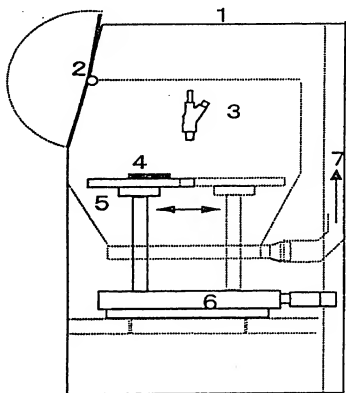


Fig.2

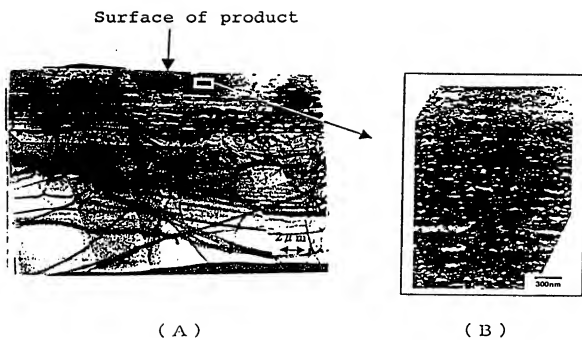


Fig.3





**[Name of the Document] Abstract**

**[Abstract]**

**[ Object ]** Method for surface toughening of ceramics product and provision of a ceramic product possessing the structure whose dislocation density of uniformly distributed linear dislocation structure.

**[Means for Solving]** A method for surface toughening of a ceramic product comprising, forming uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product by using abrasives composed of fine particles having convexly curved surface and having an average particle size of  $0.1\text{ }\mu\text{m}$  to  $250\text{ }\mu\text{m}$  and a Vickers hardness (HV) of 500 or more and of a hardness (HV) of the ceramic products +50 or less and a ceramic product possessing the structure whose dislocation density of uniformly distributed linear dislocation structure in the sub-surface regions of the ceramic product is in the range of from  $1\times 10^4$  to  $9\times 10^{13}\text{ cm}^{-2}$ .

**[Selected Drawing]** Fig.1